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| | | |
|---|------------------------|-----------------|
| UTILITY PATENT APPLICATION TRANSMITTAL <small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small> | Attorney Docket No. | 1522-K-CONT |
| | First Inventor | ROBERT E. PLATT |
| | Title | RAIL CLIP |
| | Express Mail Label No. | EV 313682500 US |

| | |
|---|--|
| APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents. | ADDRESS TO: Mail Stop Patent Application Commissioner for Patents P. O. Box 1450 Alexandria VA 22313-1450 |
|---|--|

| | |
|--|--|
| 1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original, and a duplicate for fee processing) | 7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) |
| 2. <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. | 8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) |
| 3. <input checked="" type="checkbox"/> Specification [Total Pages 17] (preferred arrangement set forth below) | a. <input type="checkbox"/> Computer Readable Form (CRF) |
| - Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure | b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> Paper |
| 4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 11] | c. <input type="checkbox"/> Statements verifying identity of above copies |
| 5. Oath or Declaration [Total Pages 3] a. <input type="checkbox"/> Newly executed (original or copy) b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed) | |
| i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). | |
| 6. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76 | |

| | |
|---|---|
| ACCOMPANYING APPLICATION PARTS | |
| 9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) | |
| 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement (when there is an assignee) | <input type="checkbox"/> Power of Attorney |
| 11. <input type="checkbox"/> English Translation Document (if applicable) | |
| 12. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 | <input checked="" type="checkbox"/> Copies of IDS Citations |
| 13. <input type="checkbox"/> Preliminary Amendment | |
| 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized) | |
| 15. <input type="checkbox"/> Certified Copy of Priority Document(s) (If foreign priority is claimed) | |
| 16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. | |
| 17. <input type="checkbox"/> Other: | |

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: **10/056,719**

Prior application information: Examiner **Victor MacArthur** Group / Art Unit: **3679**

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

| | | | | | |
|---|-------------------------|-----------|---|----------|----------------|
| 19. CORRESPONDENCE ADDRESS | | | | | |
| <input type="checkbox"/> Customer Number: | | | or <input checked="" type="checkbox"/> Correspondence address below | | |
| Name | Joseph A. Sebolt | | | | |
| | SAND & SEBOLT | | | | |
| Address | Aegis Tower, Suite 1100 | | | | |
| | 4940 Munson Street, NW | | | | |
| City | Canton | State | Ohio | Zip Code | 44718-3615 |
| Country | USA | Telephone | (330) 244-1174 | Fax | (330) 244-1173 |

| | | | |
|-------------------|-------------------------|-----------------------------------|----------|
| Name (Print/Type) | Joseph A. Sebolt | Registration No. (Attorney/Agent) | |
| Signature | <i>Joseph A. Sebolt</i> | Date | 11-14-03 |

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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17858
10/713519
U.S. PTO



16569 U.S. PTO

PTO/SB/17 (5-03)

Approved for use through 04/30/2003. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
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**FEE TRANSMITTAL
for FY 2003**

Effective 01/01/2003. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27TOTAL AMOUNT OF PAYMENT (\$)
\$ 385.00
\$ 375.00**Complete if Known**

| | |
|----------------------|-----------------|
| Application Number | Not yet known |
| Filing Date | Filed Herewith |
| First Named Inventor | ROBERT E. PLATT |
| Examiner Name | |
| Group Art Unit | |
| Attorney Docket No. | 1522-K-CONT |

METHOD OF PAYMENT (check all that apply)☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☐ Deposit Account:Deposit
Account
Number

19-0083

Deposit
Account
Name

SAND & SEBOLT

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge any additional fee(s) during the pendency of this application☐ Charge fee(s) indicated below, except for the filing fee
to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Small Entity

Fee Fee Fee Fee Fee Description
Code (\$) Code (\$) Code (\$)

1001 750 2001 375 Utility filing fee

1002 330 2002 165 Design filing

1003 520 2003 260 Plant filing fee

1004 750 2004 375 Reissue filing

1005 160 2005 80 Provisional filing fee

SUBTOTAL (1) (\$)
\$ 385.00
\$ 375.00**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

| Total Claims | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| 12 | -20** = 0 | X | 0.00 |
| 1 | -3** = 0 | X | 0.00 |
| Multiple Dependent | | | |

Large Entity Small Entity

Fee Fee Fee Fee Fee Description
Code (\$) Code (\$) Code (\$)

1202 18 2202 9 Claims in excess of 20

1201 84 2201 42 Independent claims in excess of 3

1203 280 2203 140 Multiple dependent claim, if not paid

1204 84 2204 42 ** Reissue independent claims
over original patent1205 18 2205 9 ** Reissue claims in excess of 20
and over original patentSUBTOTAL (2) (\$)
\$ 0.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity Small Entity

Fee Fee Fee Fee
Code (\$) Code (\$)

1051 130 2051 65

1052 50 2052 25

1053 130 1053 130

1812 2,520 1812 2,520

1804 920* 1804 920*

1805 1,840* 1805 1,840*

1251 110 2251 55

1252 410 2252 205

1253 930 2253 465

1254 1,450 2254 725

1255 1,970 2255 985

1401 320 2401 160

1402 320 2402 160

1403 280 2403 140

1451 1,510 1451 1,510

1452 110 2452 55

1453 1,300 2453 650

1501 1,300 2501 650

1502 470 2502 235

1503 630 2503 315

1460 130 1460 130

1807 50 1807 50

1806 180 1806 180

8021 40 8021 40

1809 750 2809 375

1810 750 2810 375

1801 750 2801 375

1802 900 1802 900

Other fee (specify)

*Reduced by Basic Filing Fee Paid

Fee Description**Fee Paid**SUBTOTAL (3) (\$)
\$ 0.00**SUBMITTED BY**

Name (Print/Type)

Joseph A. Sebolt

Registration No.

35,352

(Attorney/Agent)

Complete (if applicable)

Telephone

(330) 244-1174

Signature

Date

11/14/03

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)Applicant(s): **ROBERT E. PLATT**

Docket No.

1522-K-CONT

Serial No.

Not yet known

Filing Date

Filed Herewith

Examiner

Group Art Unit

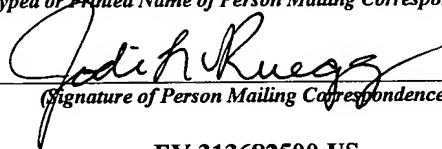
Invention: **RAIL CLIP**

I hereby certify that the following correspondence:

Utility Patent Application Transmittal

(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

11-14-03*(Date)***Jodi L. Ruegg***(Typed or Printed Name of Person Mailing Correspondence)**(Signature of Person Mailing Correspondence)***EV 313682500 US***("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)Applicant(s): **ROBERT E. PLATT**

Docket No.

1522-K-CONT

Serial No.

Not yet known

Filing Date

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Examiner

Group Art Unit

Invention: **RAIL CLIP**

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11-14-03*(Date)***Jodi L. Ruegg***(Typed or Printed Name of Person Mailing Correspondence)*
*(Signature of Person Mailing Correspondence)***EV 313682500 US***("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**

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Docket No.

1522-K-CONT

Serial No.

Not yet known

Filing Date

Filed Herewith

Examiner

Group Art Unit

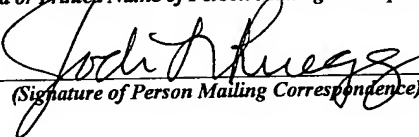
Invention: **RAIL CLIP**

I hereby certify that the following correspondence:

Specification (17 pages) and Drawings (11 sheets)

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Docket No.

1522-K-CONT

Serial No.

Not yet known

Filing Date

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Examiner

Group Art Unit

Invention: **RAIL CLIP**

I hereby certify that the following correspondence:

Application Data Sheet

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11-14-03*(Date)***Jodi L. Ruegg***(Typed or Printed Name of Person Mailing Correspondence)*
*(Signature of Person Mailing Correspondence)***EV 313682500 US***("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)Applicant(s): **ROBERT E. PLATT**

Docket No.

1522-K-CONT

Serial No.

Not yet known

Filing Date

Filed Herewith

Examiner

Group Art Unit

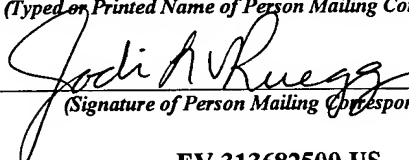
Invention: **RAIL CLIP**

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Information Disclosure Statement, PTO -1449 and 4 references

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*(Date)***Jodi L. Ruegg***(Typed or Printed Name of Person Mailing Correspondence)*
*(Signature of Person Mailing Correspondence)***EV 313682500 US***("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)Applicant(s): **ROBERT E. PLATT**

Docket No.

1522-K-CONT

Serial No.

Not yet known

Filing Date

Filed Herewith

Examiner

Group Art Unit

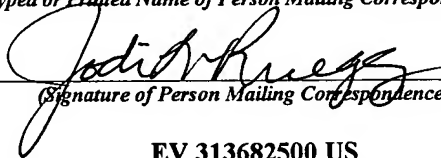
Invention: **RAIL CLIP**

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Declaration and Power of Attorney (copy from parent application)

(Identify type of correspondence)

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11.14.03
(Date)**Jodi L. Ruegg***(Typed or Printed Name of Person Mailing Correspondence)**(Signature of Person Mailing Correspondence)***EV 313682500 US***("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**